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# Avances en Mezcladores: Circuitos Subarmónicos y sus Aplicaciones

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## Plan de la charla

- Porque los mezcladores subarmonicos?
- Mezcladores subarmonicos (SHM) en CMOS
- Análisis del SHM basado en la celda de Gilbert-Cell
- Avances recientes: el SHM con multiplicación x4
- Multiplicadores de frecuencia usando SHM's
- Conclusión

## Gigahertz Integrated Circuits Group

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Estudiantes de doctorado

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Maestria:

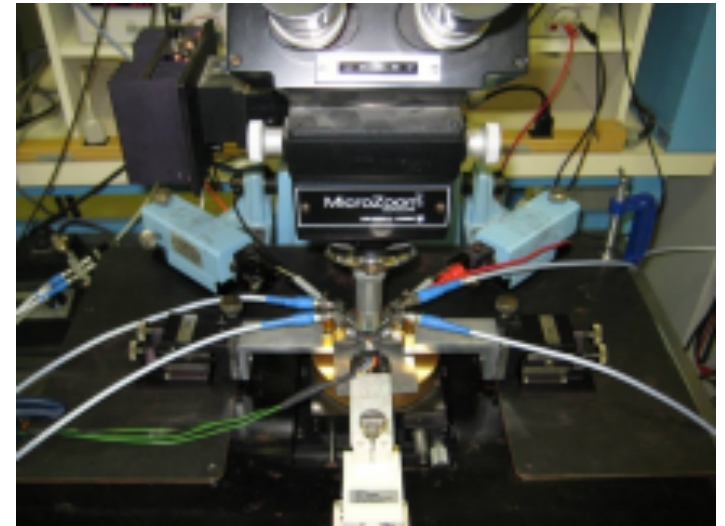
Min Wang, Shan He

Pregrado

Greg Reynen, Jeet Mondal, Kevin Greig

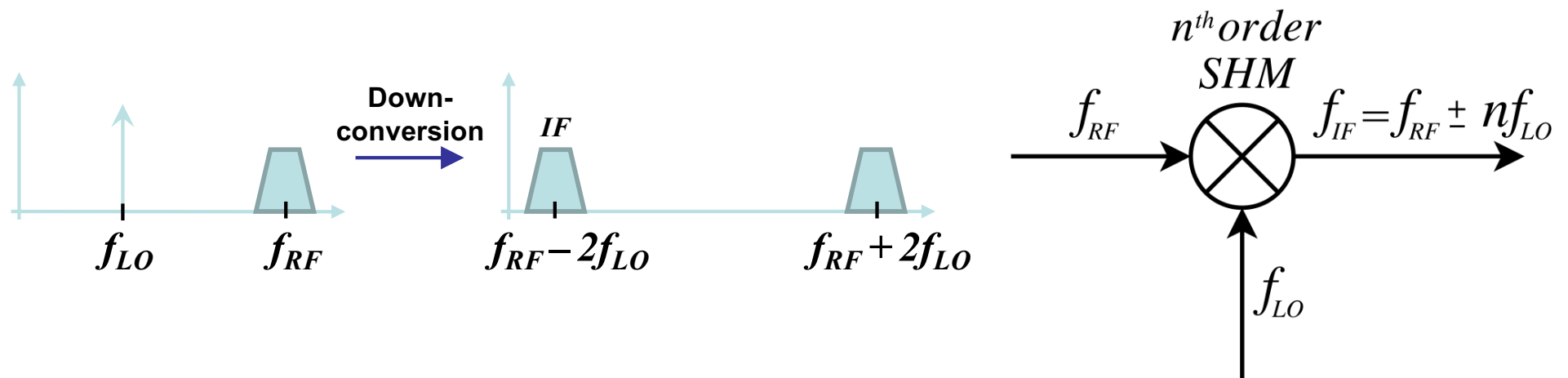
## Infraestructura Experimental

- Probe Station
- 50 GHz Vector Network Analyzer
- 44 GHz Spectrum Analyzer with Noise Fig. measurement capability
- 40 GHz Signal Generators (Anritsu)
- Digital Sampling Oscilloscopes



## Subharmonic Mixers – the “big picture”

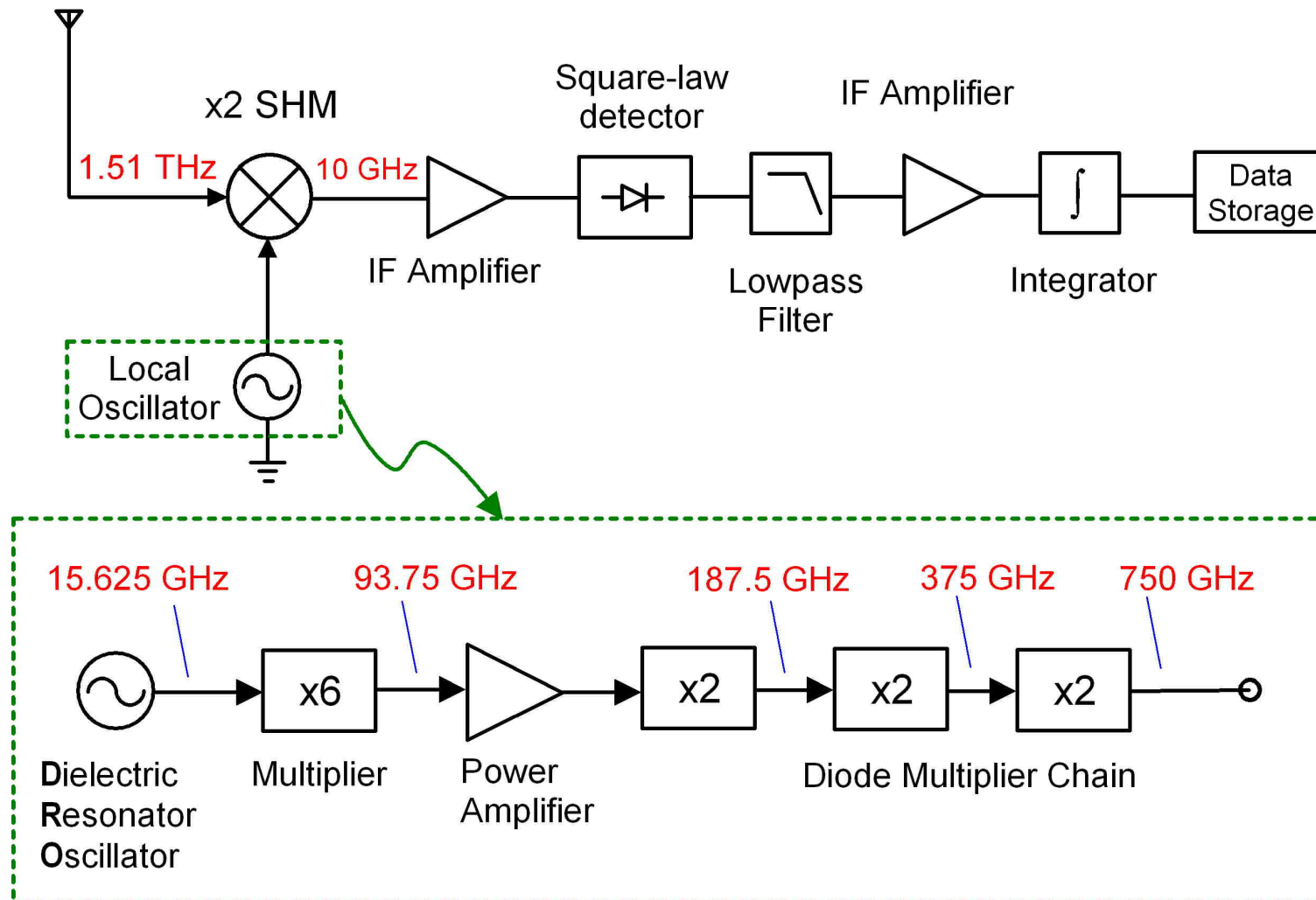
- Output signal frequency is the sum and difference of one input frequency and a multiple of the other input frequency.
  - Internally multiplies  $f_{LO}$



2x SHM  $\rightarrow n=2$

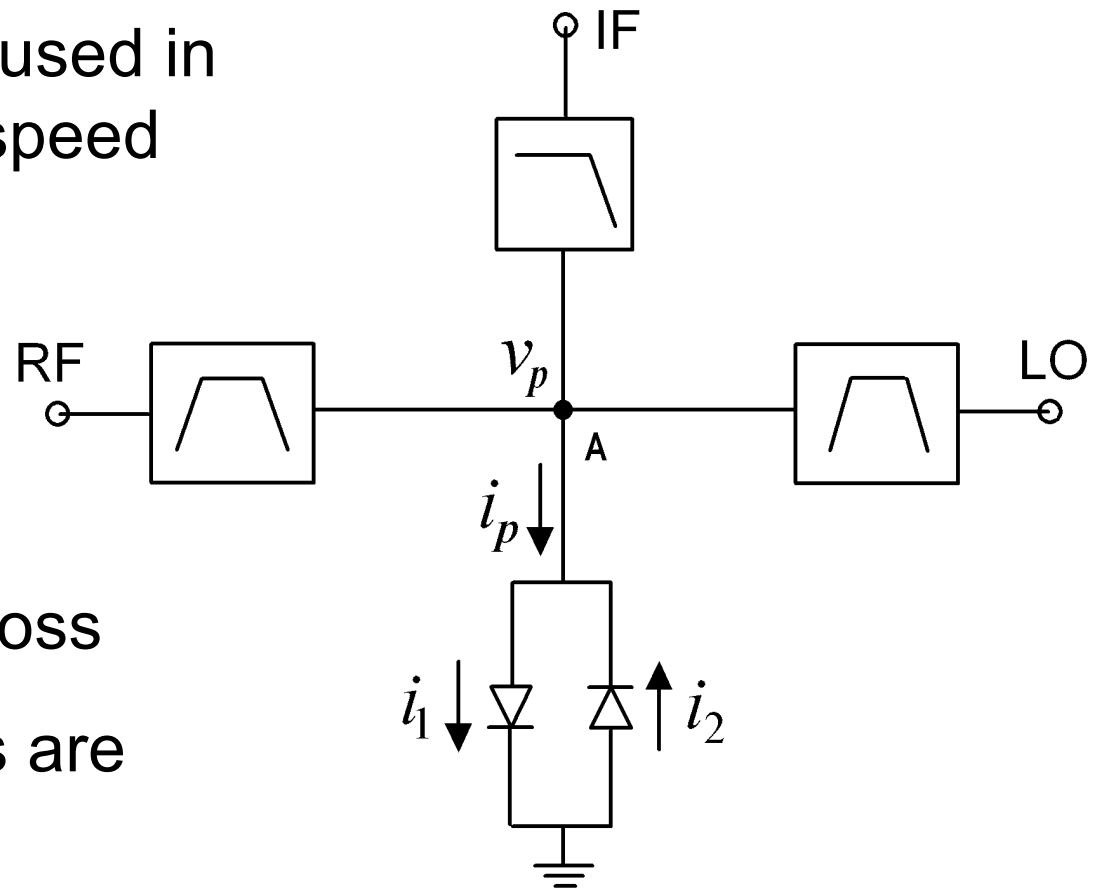
4x SHM  $\rightarrow n=4$

## Terahertz Receiver for Radio Astronomy

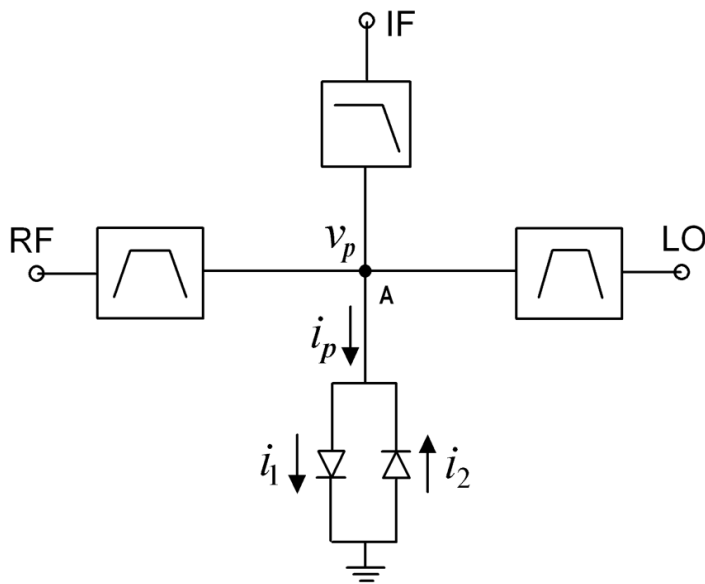


## Diode-based Subharmonic Mixers

- Schottky diodes are often used in THz systems due to their speed
- The mixers are cooled to 4 Kelvin to minimize their internal noise
- Waveguide structures are preferred due to their low loss
- Mixers using SIS junctions are also employed



## Diode SHM analysis



$$v_p = A_{rf} \cos(\omega_{rf}t) + A_{lo} \cos(\omega_{lo}t)$$

The currents are:

$$i_1 = I_o \left( e^{v_p/nV_T} - 1 \right) \quad \text{and} \quad i_2 = I_o \left( e^{-v_p/nV_T} - 1 \right)$$

Summation at node  $v_p$ :

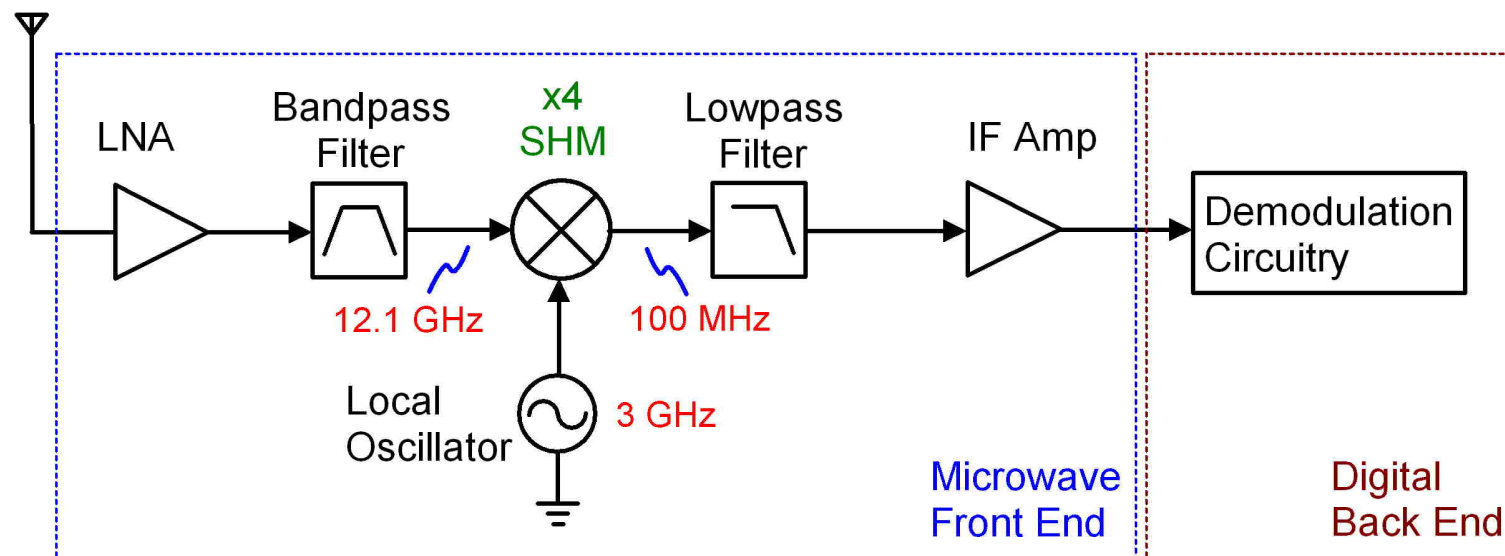
$$i_p = I_o \left( e^{v_p/nV_T} - e^{-v_p/nV_T} \right)$$

Taylor series expansion:

$$i_p = I_o \left[ 2 \left( \frac{v_p}{nV_T} \right) + \frac{1}{3} \left( \frac{v_p}{nV_T} \right)^3 \right]$$

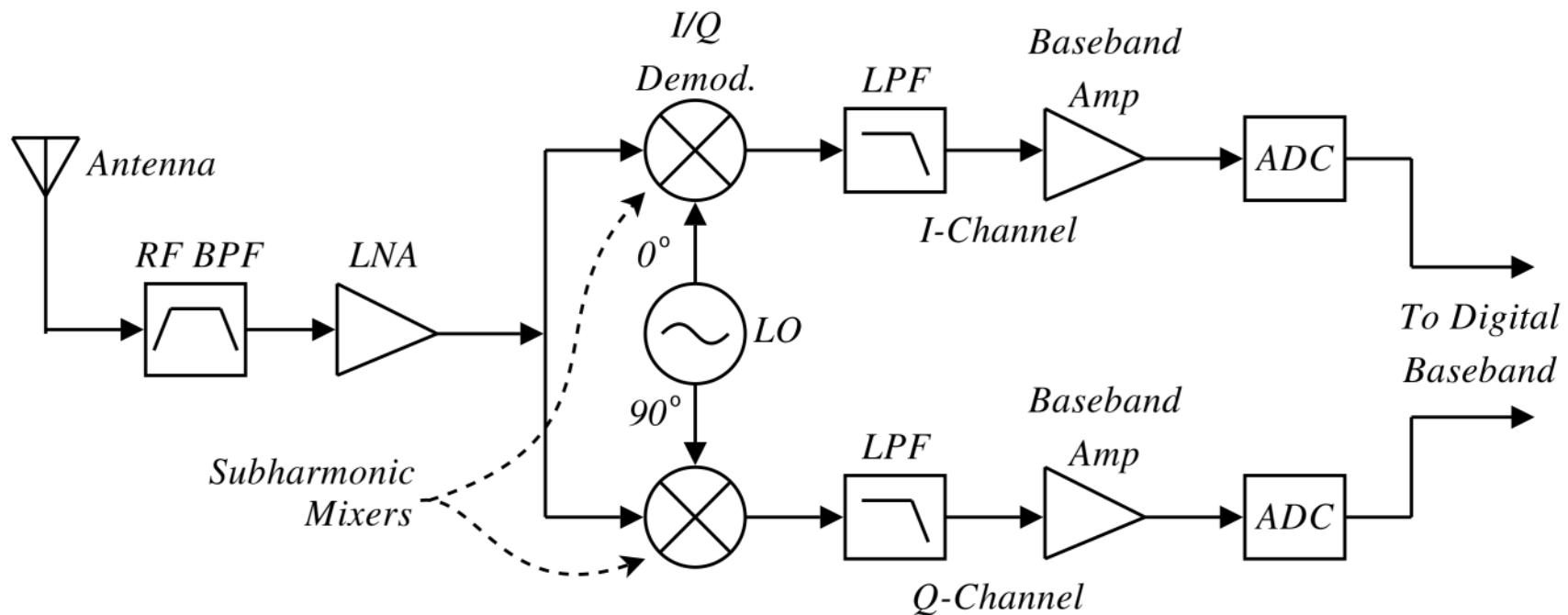
one of the multiplication terms is:  $(1/4n^3V_T^3)A_{rf}A_{lo}^2 \cos(\omega_{rf} \pm 2\omega_{lo})t$

## SHM's in Superheterodyne Receivers



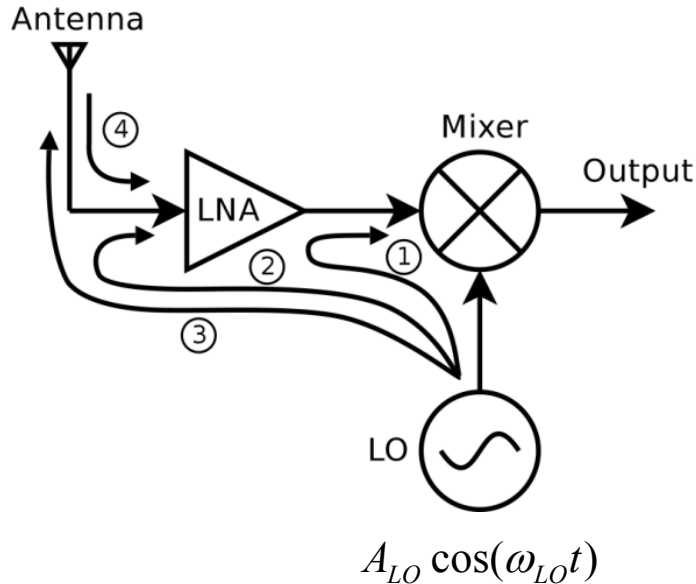
- The LO frequency is reduced by  $f_{LO} / n$
- Oscillator phase-noise is much better at lower frequencies than at higher ones. At 3 GHz one could use an FBAR resonator and get a XTAL oscillator performance levels

## Direct-Conversion (zero IF) Receivers



**LO self-mixing** in a fundamental mixer cause serious interference problems at baseband in direct-conversion receivers

## LO self-mixing in zero-IF receivers



using a fundamental mixer:

$$A_{LO} \cos(\omega_{LO} t) A_{FT} \cos(\omega_{LO} t) =$$

$$\frac{1}{2} A_{LO} A_{FT} + \frac{1}{2} A_{LO} A_{FT} \cos(2\omega_{LO} t)$$

baseband signal

using a x2 Subharmonic mixer:

$$A_{LO} \cos(\omega_{LO} t) A_{FT} \cos(2\omega_{LO} t) = \frac{1}{2} A_{LO} A_{FT} \cos(\omega_{LO} t) + \frac{1}{2} A_{LO} A_{FT} \cos(3\omega_{LO} t)$$

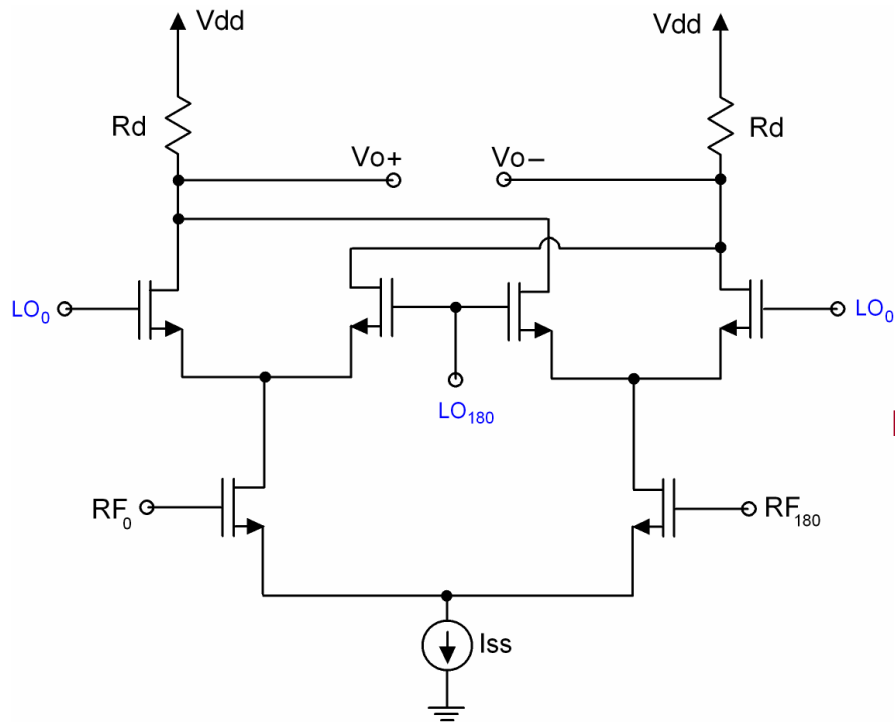
self-mixing products are  
**not at baseband**

## CMOS SHM Design Considerations

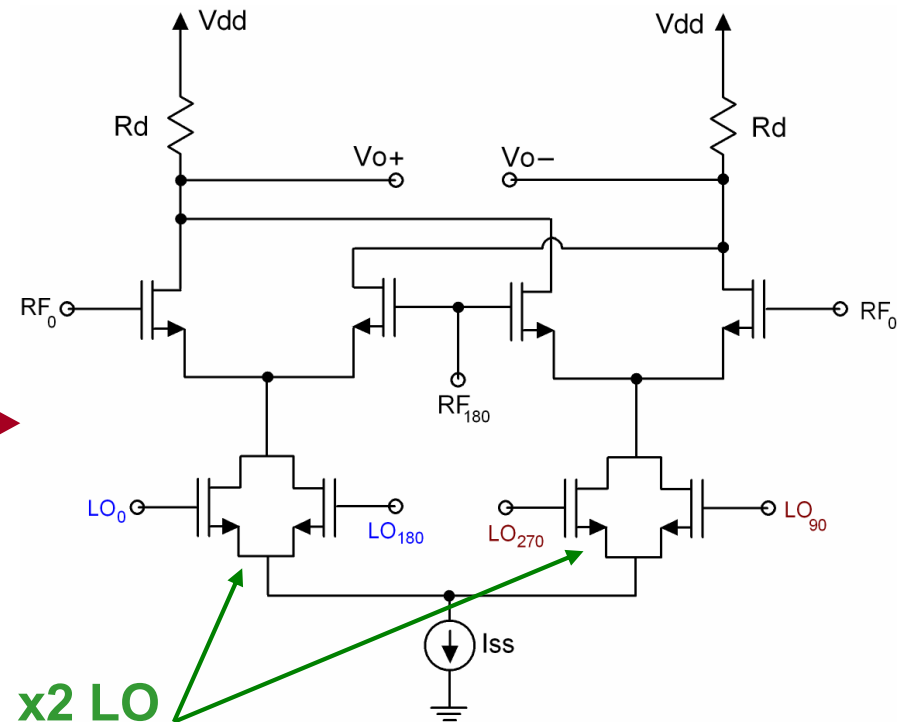
- SHM's based on the Gilbert cell have **conversion gain**, even at high multiplication factors
- **High port-to-port isolation** is easily achieved using a differential circuit topology
- In contrast to diode-based SHM's, **diplexers are not needed** to feed the RF and LO signals to the mixer
- These attributes, however, come at the **cost of DC power** consumption and lower  **$P_{1dB}$  and IIP3** relative to diode-based SHM's.

# CMOS Subharmonic Mixers and Applications

## x2 SHM using a Gilbert Cell [3-5]

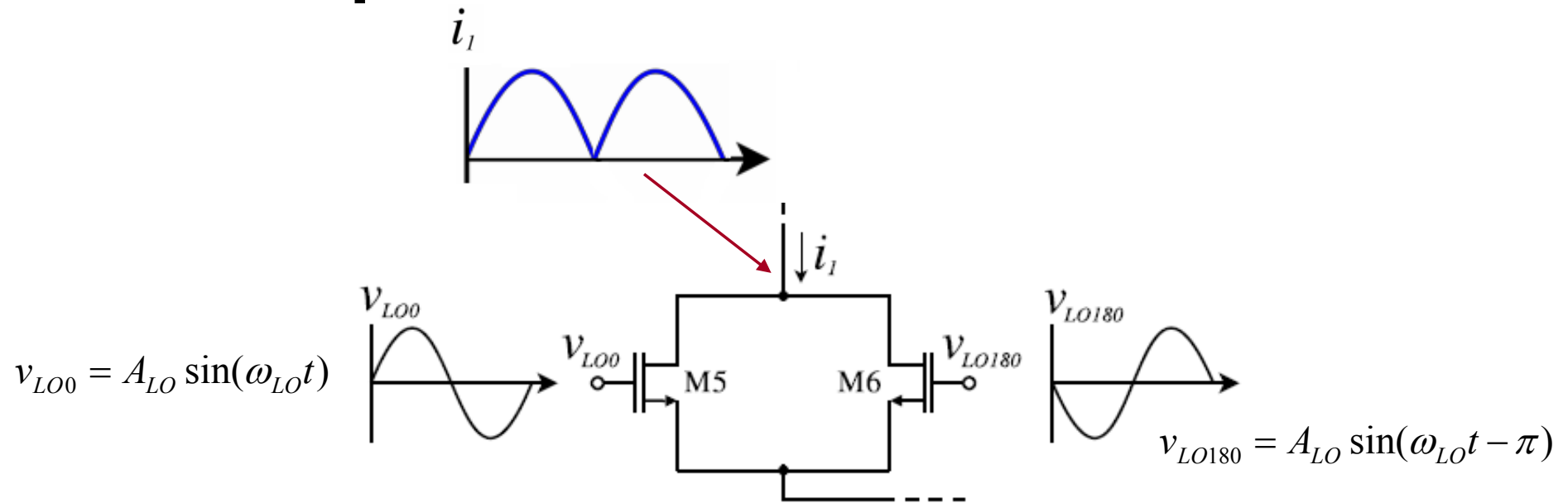


Standard Gilbert Cell



x2 LO  
multiplication

## x2 SHM Operation Details



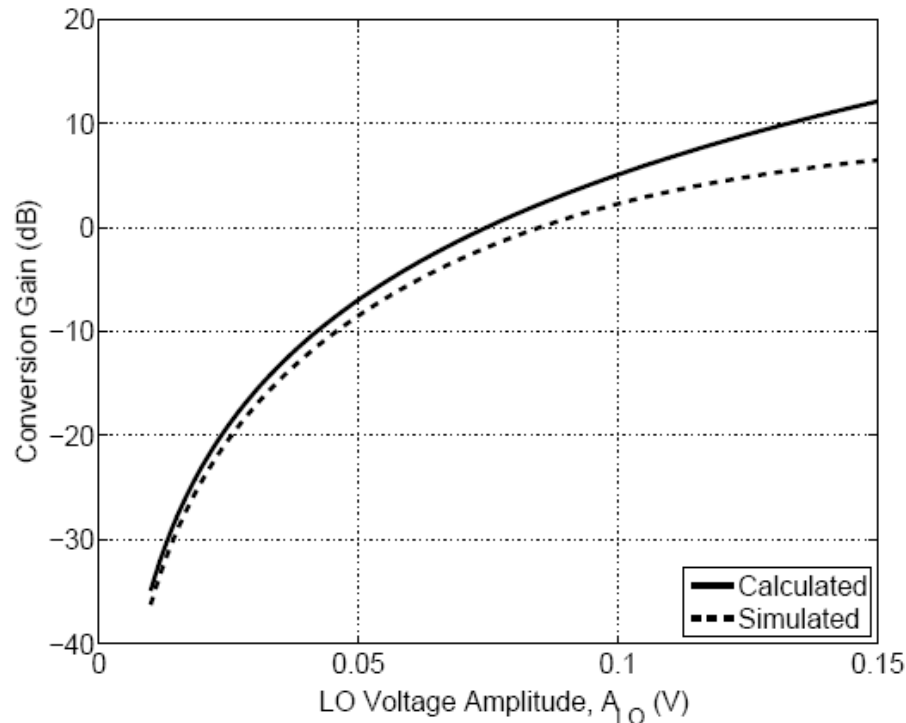
$$i_1 = i_a + i_b = \mu_n C_{ox} \frac{W_1}{L} (V_{GS(LO)} - V_t)^2 + \frac{1}{2} \mu_n C_{ox} \frac{W_1}{L} (v_{LO0}^2 + v_{LO180}^2)$$

$$i_1 = \mu_n C_{ox} \frac{W_1}{L} (V_{GS(LO)} - V_t)^2 + \mu_n C_{ox} \frac{W_1}{L} v_{LO0}^2$$

Using the relationship,  $v_{LO0}^2 = v_{LO180}^2$



## Modeling the Conversion Gain – cont'd



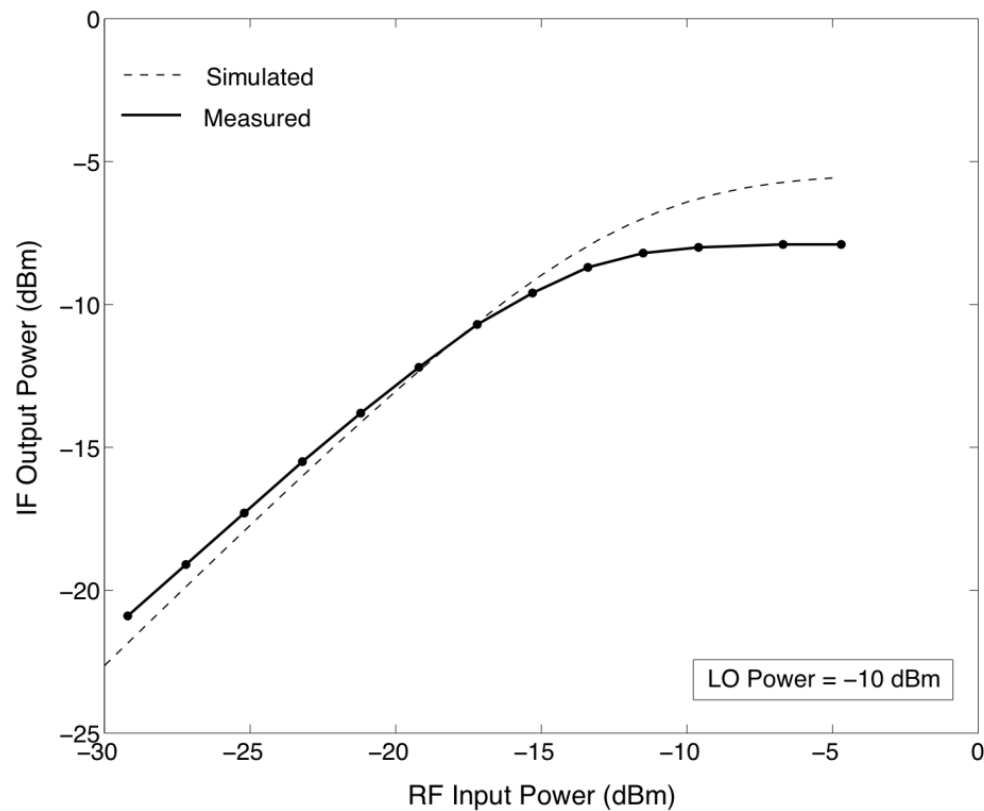
$$v_{LOEQ0} \approx \frac{A_{LO}^2}{4(V_{GS(LO)} - V_t)} \cos(2\omega_{LO}t)$$

$$v_{LOEQ180} \approx \frac{A_{LO}^2}{4(V_{GS(LO)} - V_t)} \cos(2\omega_{LO}t + \pi)$$

$$CG_{dB} = 20 \log \left( \frac{R_d I A_{LO}^2}{4(V_{GS(RF)} - V_t)(V_{GS(LO)} - V_t)^2} \right)$$

For more modeling details see Ref. [6]

## CMOS x2 SHM Power Performance

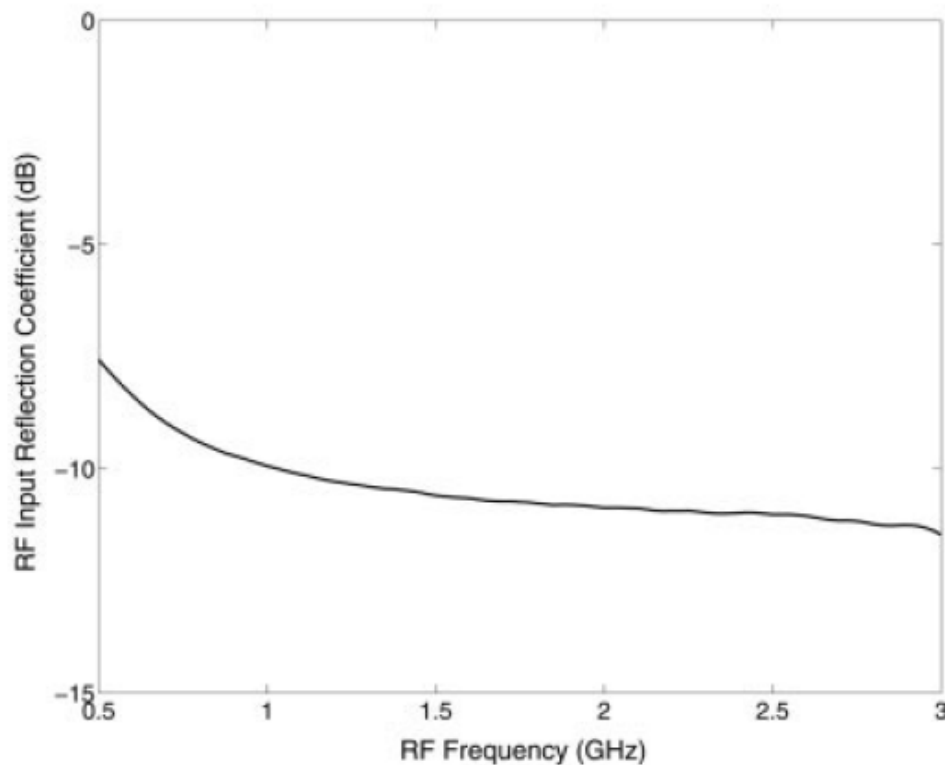


Conversion Gain  $\sim 8$  dB

$P_{1\text{dB},\text{out}} = -9$  dBm

OIP3 = 0 dBm

## RF input match

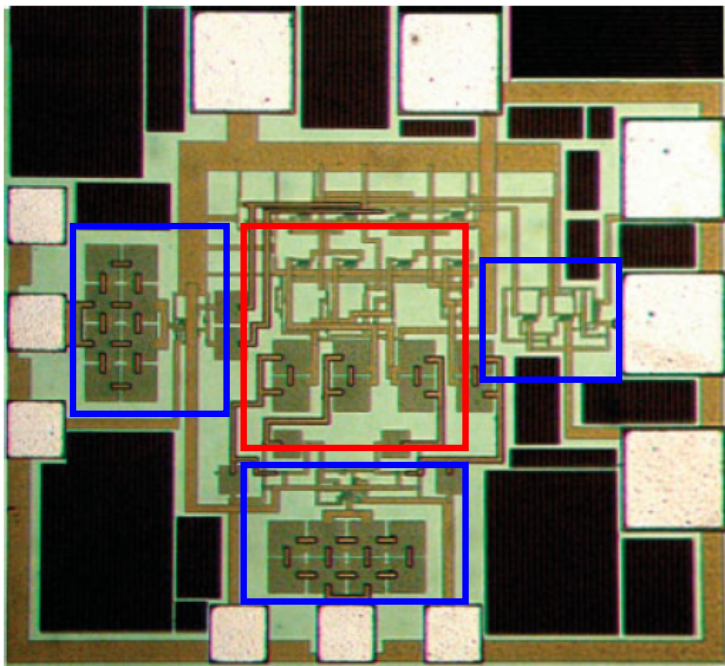


Broadband input match obtained using an active balun at the RF port

## Port Isolations

<i>Ports</i>	<i>Isolation</i>
RF-LO	62 dB
LO-RF	58 dB
2LO-RF	68 dB
RF-IF	35 dB
LO-IF	37 dB
2LO-IF	49 dB

## x2 SHM Chip Microphotograph

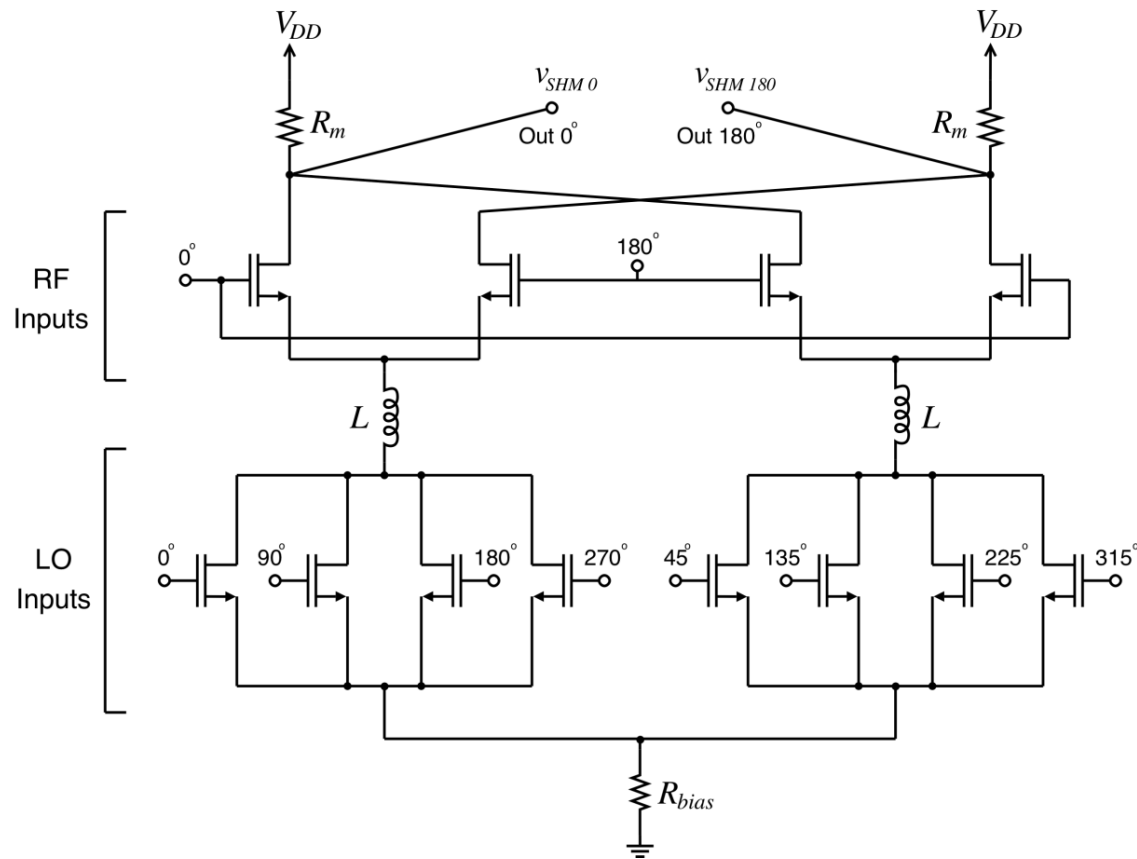


RF frequency	2.1 GHz
LO frequency	1 GHz
IF frequency	100 MHz
DC Power	36 mW
Chip Size	0.42 mm <sup>2</sup> incl. pads

 mixer core

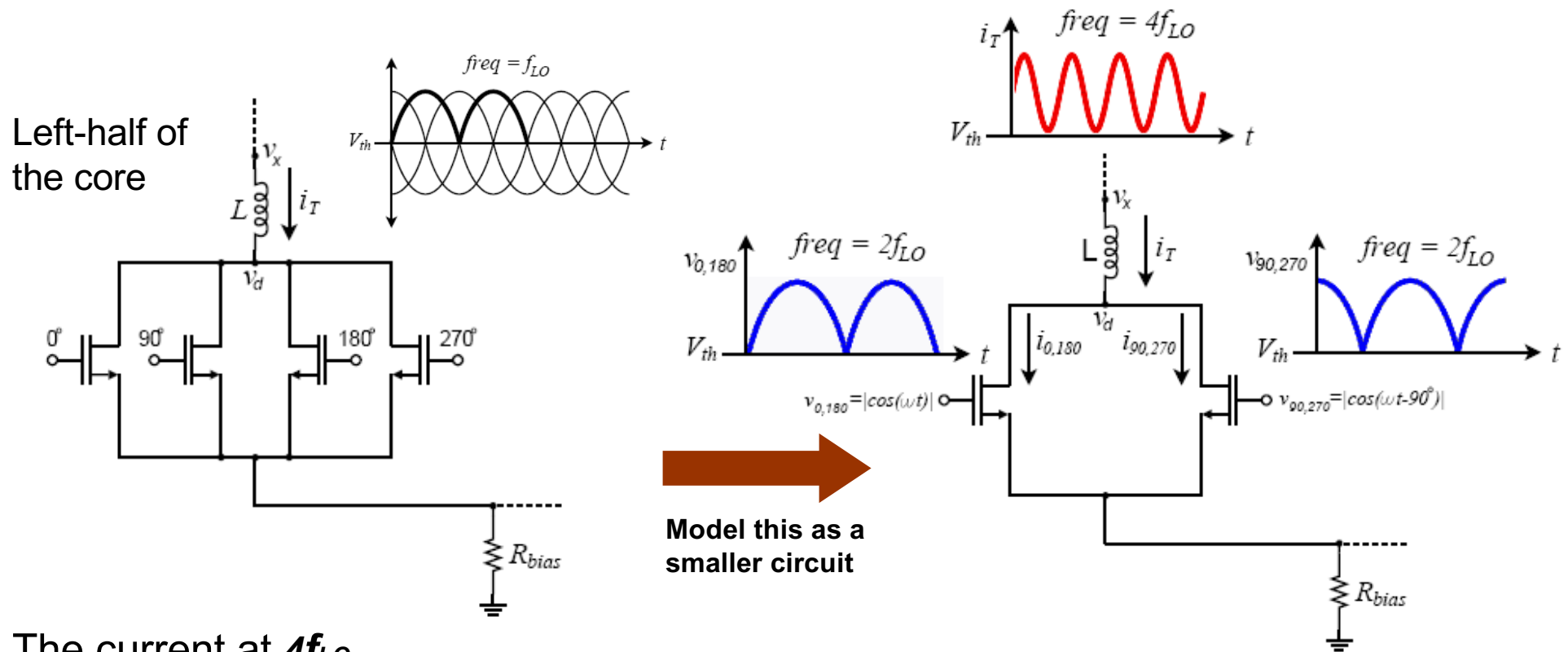
 input/output active baluns

## Recent Advances: **X4 Subharmonic Mixer**



B. R. Jackson and C. E. Saavedra, "A CMOS Ku Band 4X Subharmonic Mixer," *IEEE Journal of Solid-State Circuits*, Vol. 43, No. 6, pp. 1351-1359, June 2008.

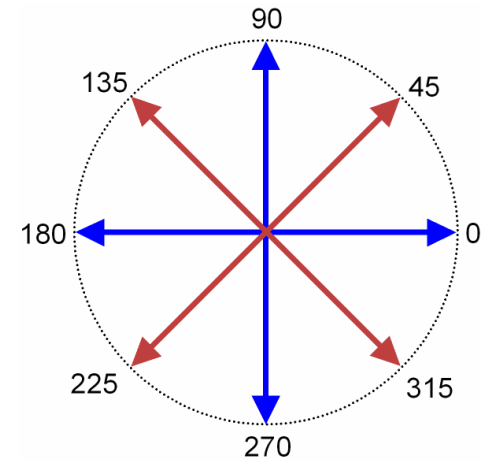
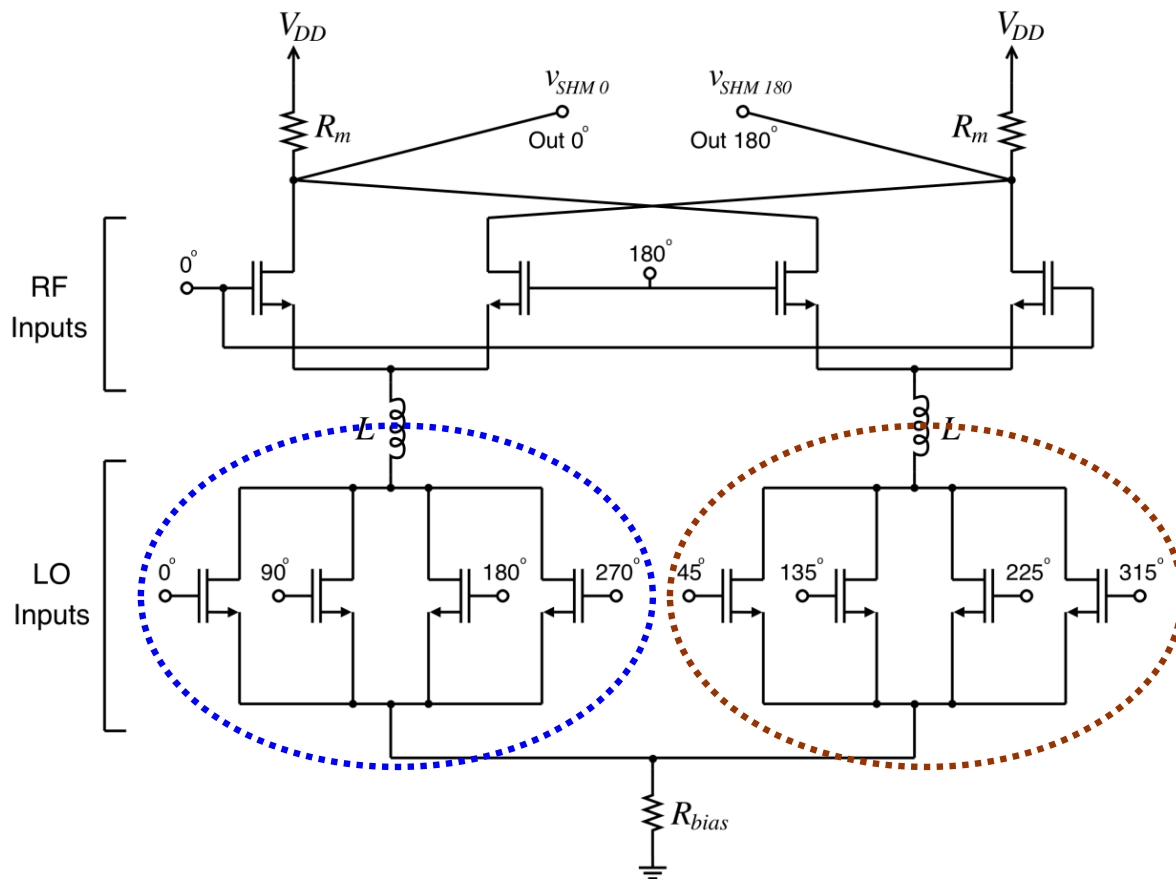
## The LO Multiplication Core - modeling



The current at  $4f_{LO}$

$$i_T \approx \frac{1}{2} \mu_n C_{ox} W E_{sat} A_{LO} \left( \frac{15}{16} + \frac{1}{2} |\sin(2\omega_{LO}t)| + \frac{1}{16} \cos(4\omega_{LO}t) \right)$$

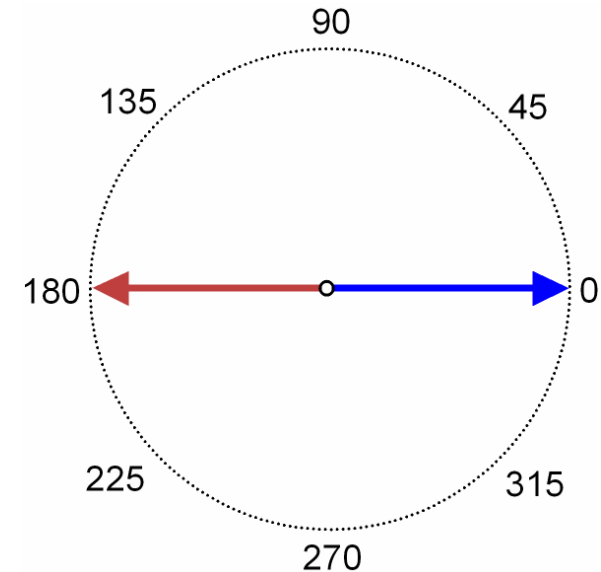
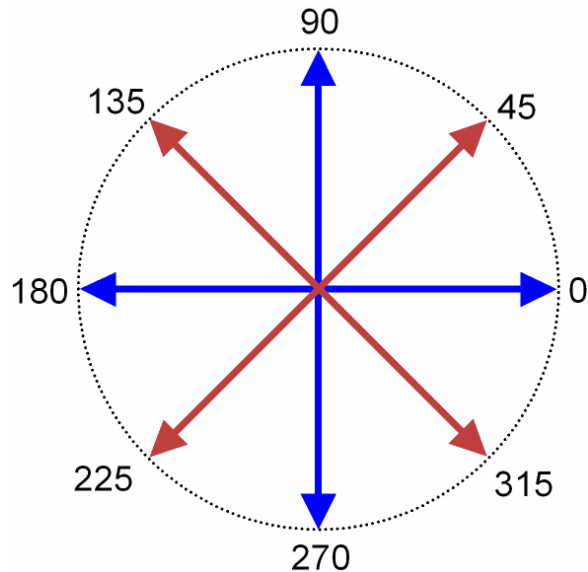
## The LO phases in the multiplication core



Octet-phase LO signal used to generate the  $4f_{LO}$  signal

# CMOS Subharmonic Mixers and Applications

## Creating a differential at signal at $4\omega_{LO}$ :



$$v_{LO}(t) = A_{LO} \sin\left(\omega_{LO}t + n \frac{2\pi}{8}\right) = A_{LO} \sin\left(\omega_{LO}t + n \frac{\pi}{4}\right)$$

$$n = 0, 1, 2, 3, \dots, 7$$

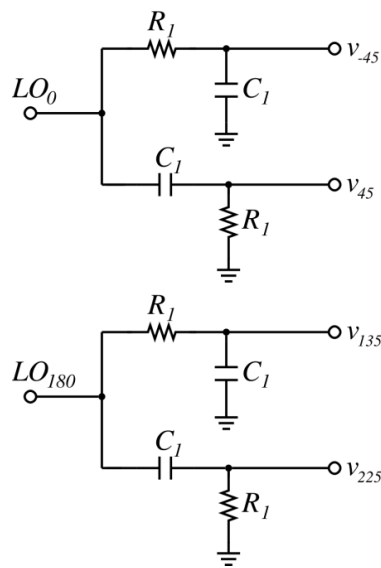
$$v_{4LO}(t) = v_d + i_T Z_{ind} \propto \sin(4\omega_{LO}t + n\pi)$$

$$n = 0, 1, 2, 3, \dots, 7$$

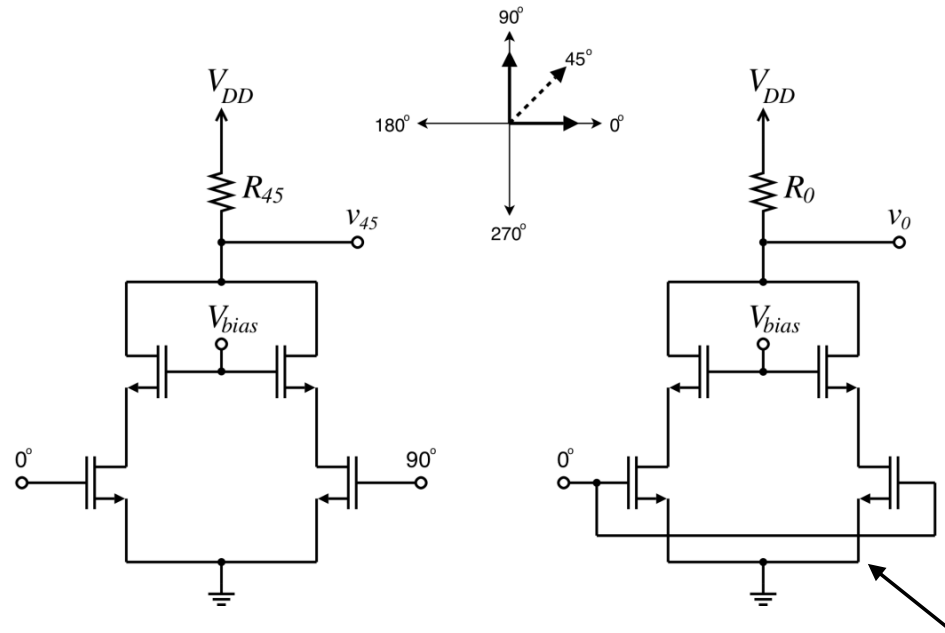
At the frequency,  $\omega$ , the phases are:  $0, \pi/4, \pi/2, 3\pi/4, \dots, 7\pi/4$

At the frequency,  $4\omega$ , the phases are:  $0, \pi, 0, \pi, \dots$

## LO Octet Phase Generation



Create a set of quadrature signals using a method of your choice

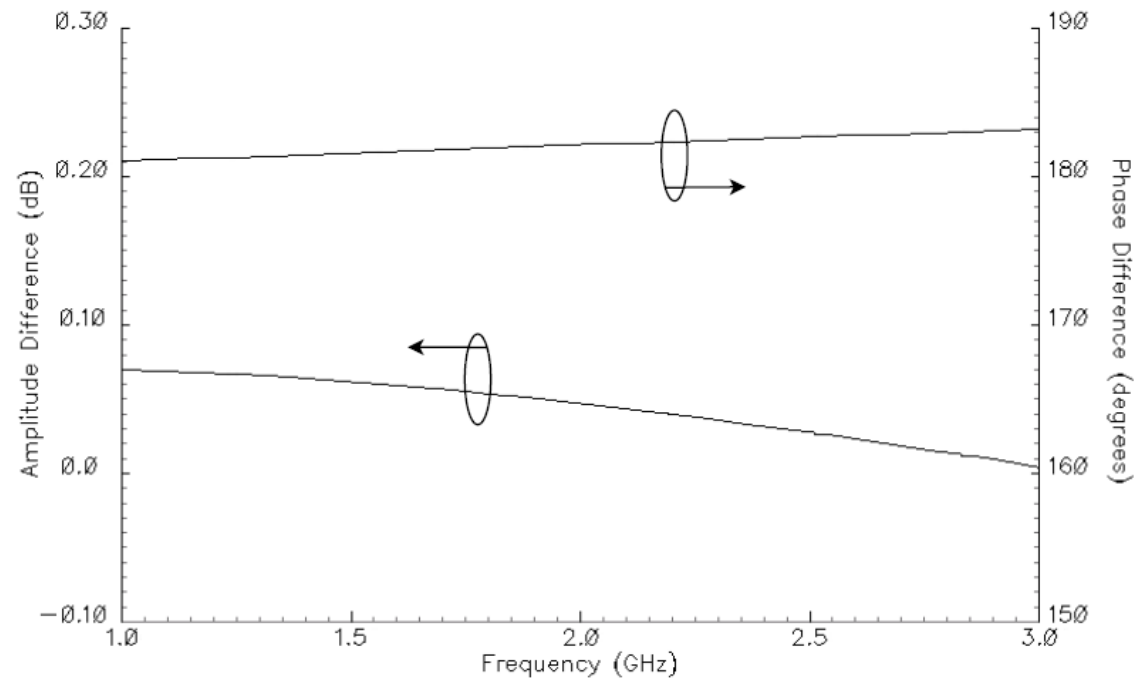
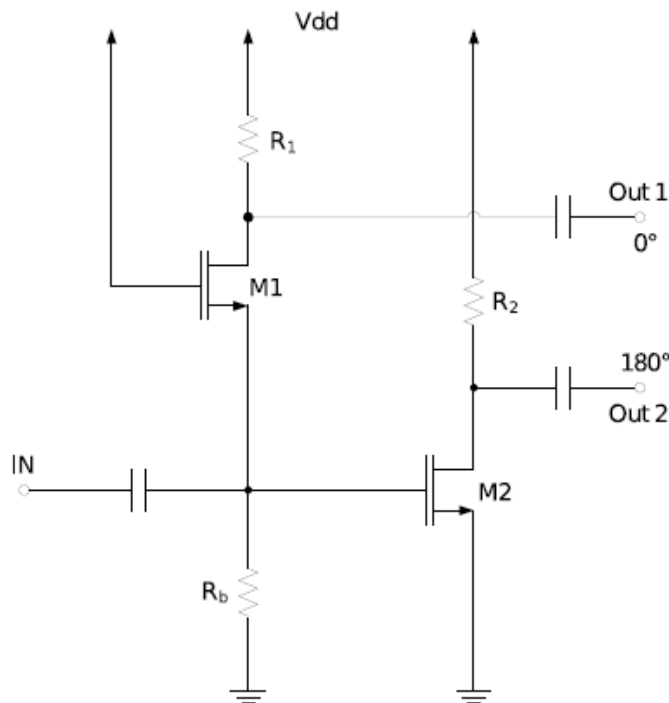


Generate the  $\pi/4$  vector: add a 0 and a  $\pi/2$  vector using an active summing junction. Repeat for the other 3 vectors.

For equalizing the loading effects

# CMOS Subharmonic Mixers and Applications

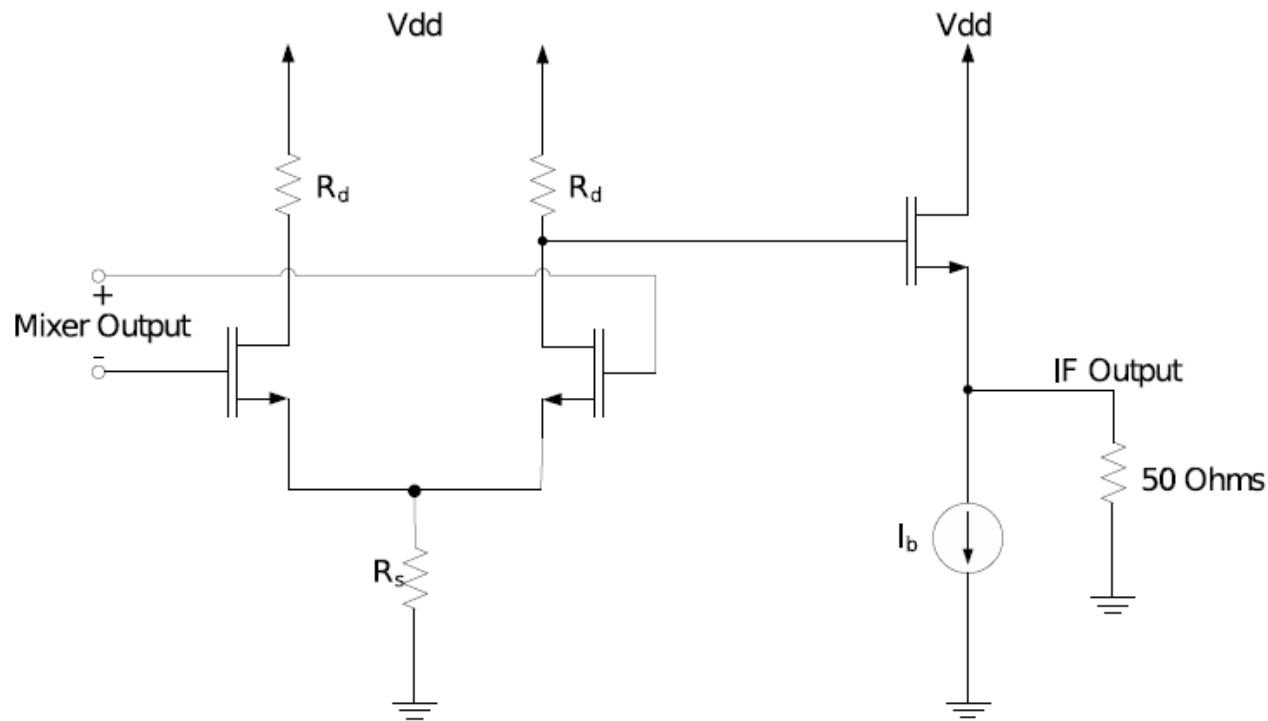
## Fully integrated x4 SHP Mixer – I/O circuitry



RF active balun

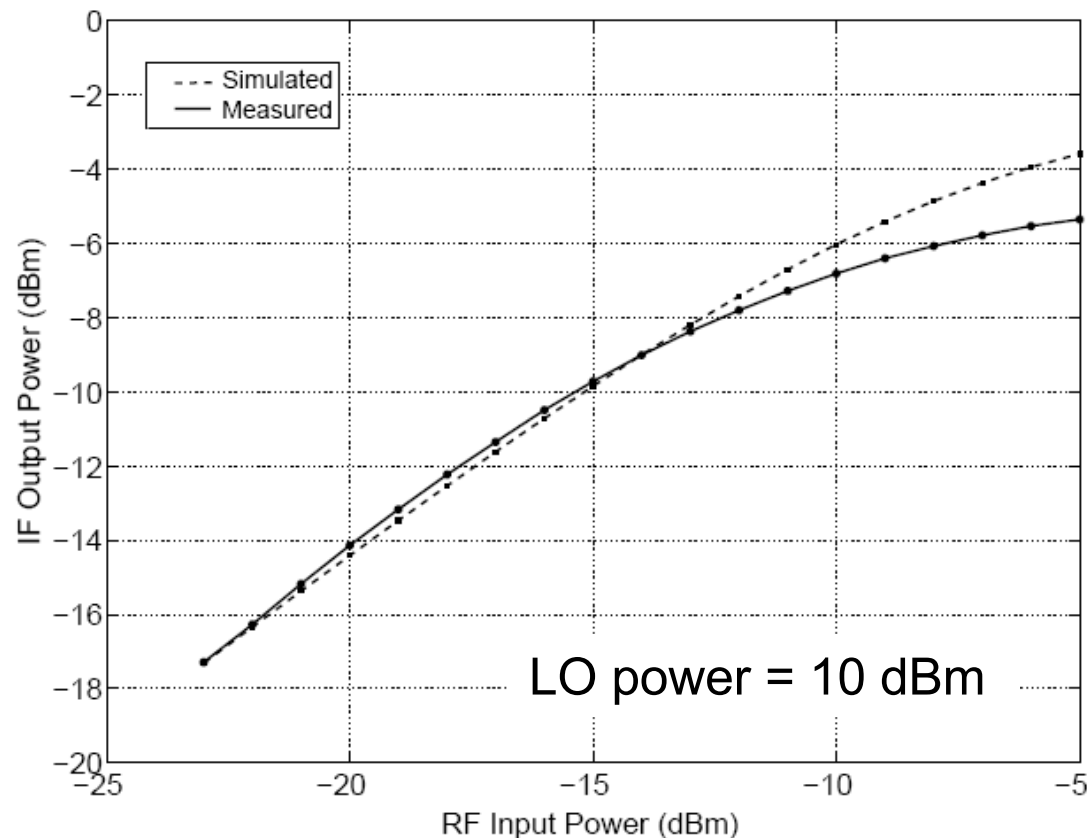
# CMOS Subharmonic Mixers and Applications

## Fully integrated x4 SHP Mixer – I/O circuitry



IF output stage: differential to single-ended conversion

## x4 SHM Power Performance



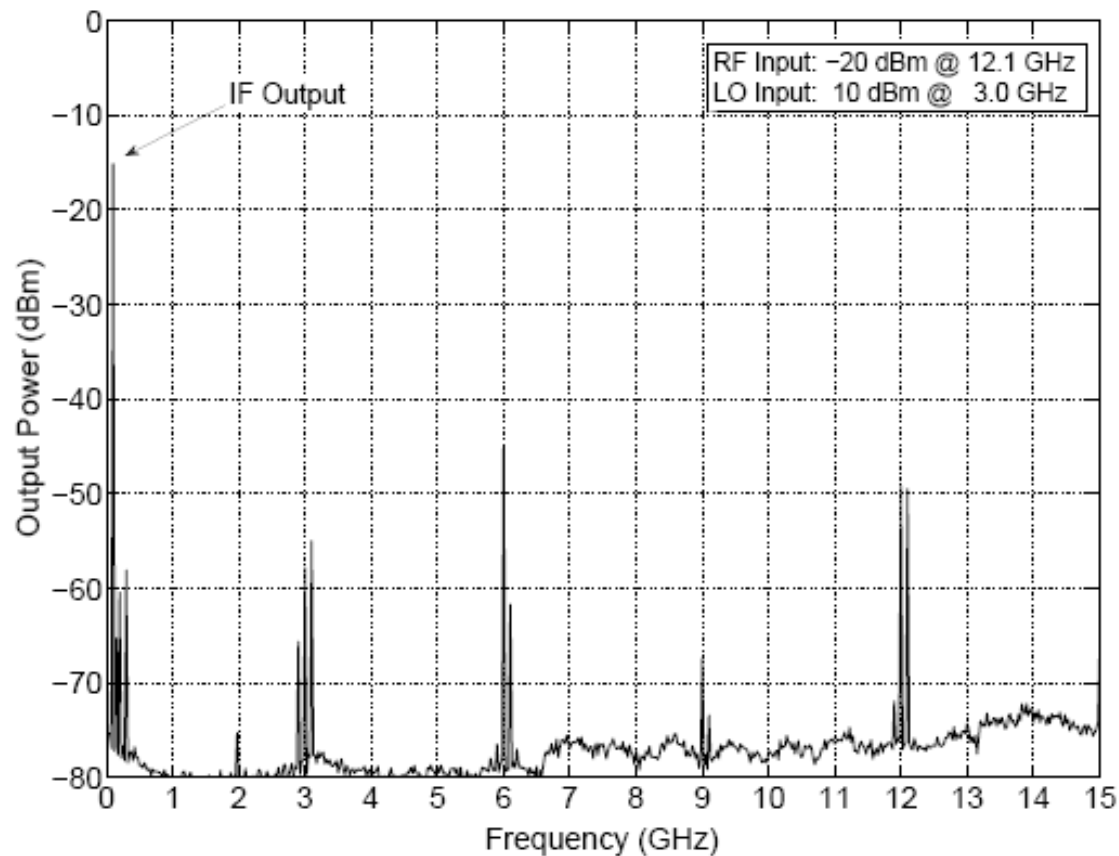
Conversion Gain: **6 dB**  
→ **best reported** to date for a x4 SHM mixer

$P_{1\text{dB,out}}$ : **-7 dBm**

RF = 12.1 GHz, LO = 3.0 GHz, IF = 100 MHz

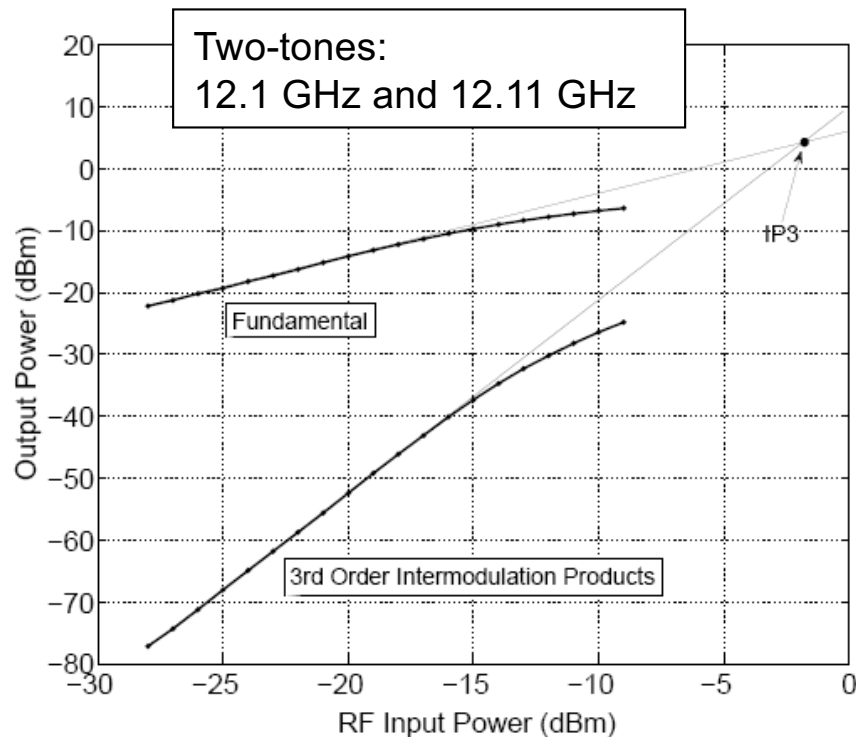
# CMOS Subharmonic Mixers and Applications

## Spectral Response

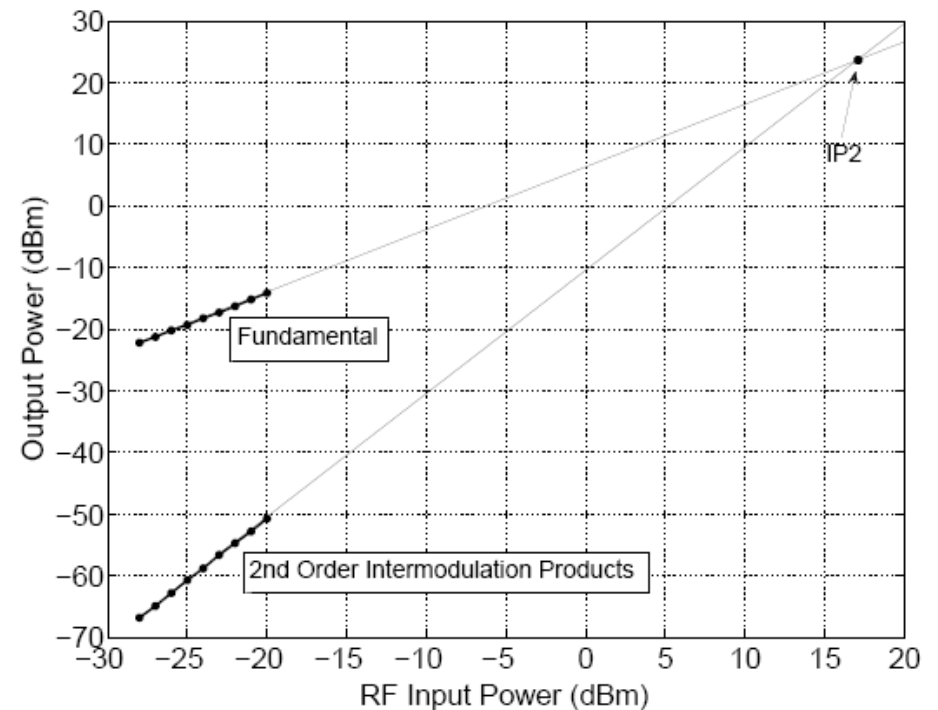


<i>Ports</i>	<i>Isolation</i>
RF-LO	43 dB
LO-RF	71 dB
2LO-RF	52 dB
4LO-RF	59 dB
RF-IF	30 dB
LO-IF	68 dB
2LO-IF	55 dB
4LO-IF	59 dB

## Intermodulation Distortion Measurements



OIP3 = + 5 dBm



OIP2 = + 24 dBm

Using passive baluns will improve these values

## LO Self-Mixing Performance

How to evaluate LO self-mixing behavior:

- 1) Measure the DC level at the IF port with no RF and LO input signals:  $V_{DC1}$
- 2) Measure the DC level at the IF with an LO signal applied and no RF input signal:  $V_{DC2}$
- 3) LO Self-Mixing is thus:  $V_{Self-Mixing} = V_{DC1} - V_{DC2}$

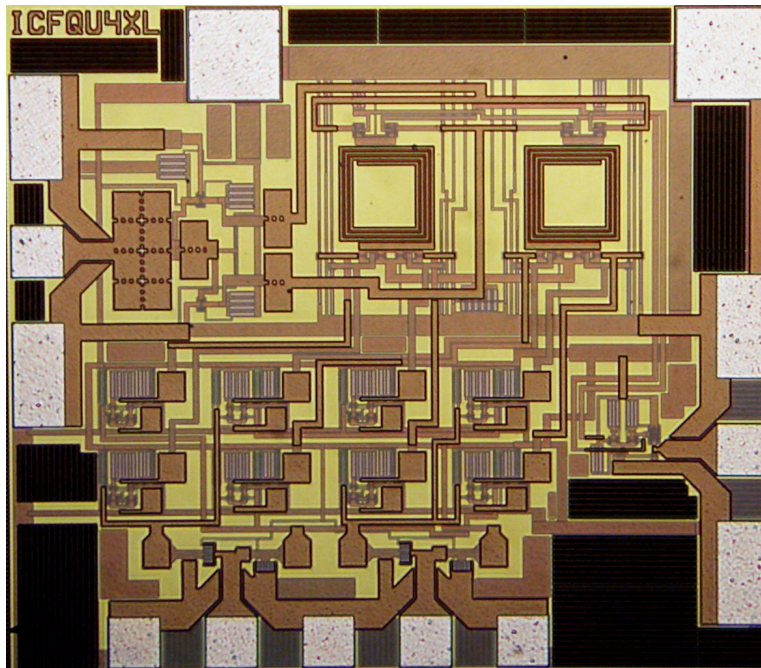
LO input signal used:

$$+10 \text{ dBm @ } 3 \text{ GHz} \rightarrow V_{\text{rms}} = 707 \text{ mV}$$

Measured self-mixing voltage at the IF port:

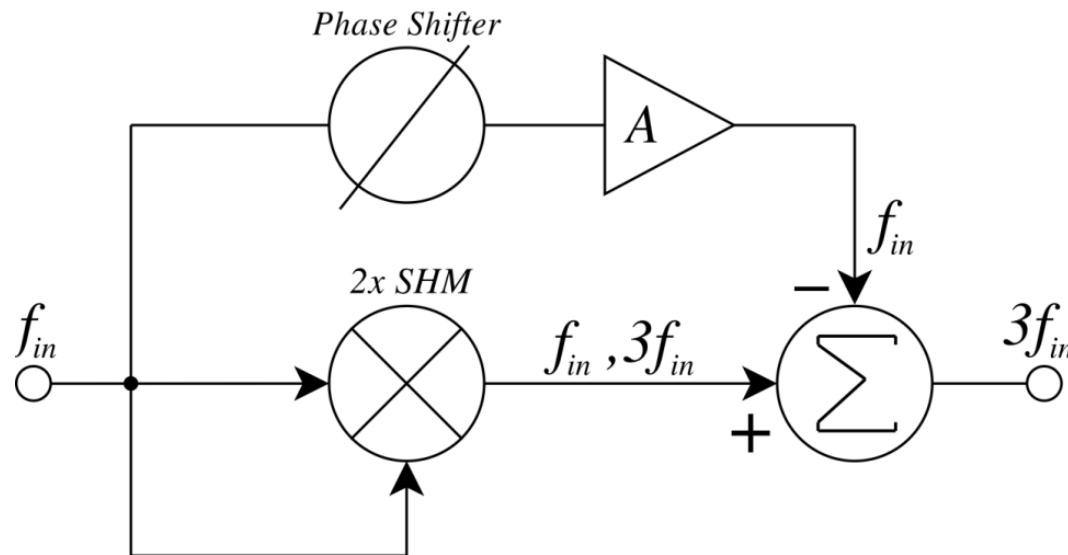
$$4.2 \text{ mV} \rightarrow 44 \text{ dB "rejection"}$$

## Ku Band x4 SHM Chip



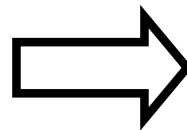
RF frequency	12.1 GHz
LO frequency	3 GHz
IF frequency	100 MHz
Noise Figure	15 dB (DSB)
Chip Size	0.72 mm <sup>2</sup> incl. pads
DC Power	5 mW (mixer core) 113 mW (full chip)

## Frequency Multiplication with SHM's



**odd-order** frequency multipliers can be conveniently designed

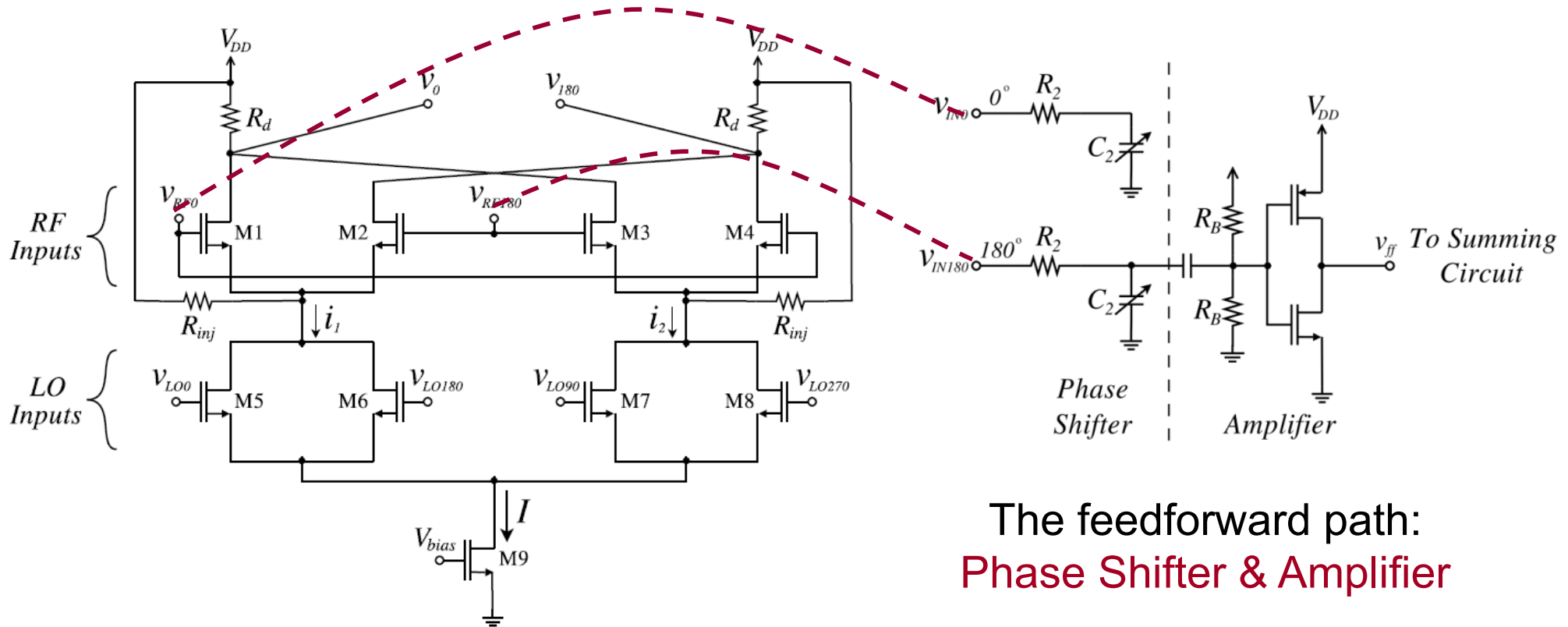
**Frequency Tripler** with Fundamental Signal Cancellation



No output filtering needed

B. R. Jackson, F. Mazzilli and C. E. Saavedra, "A Frequency Tripler using a Subharmonic Mixer and Fundamental Cancellation," *IEEE Transactions on Microwave Theory and Techniques*, Vol. 57, No. 5, pp. 1083-1090, May 2009.

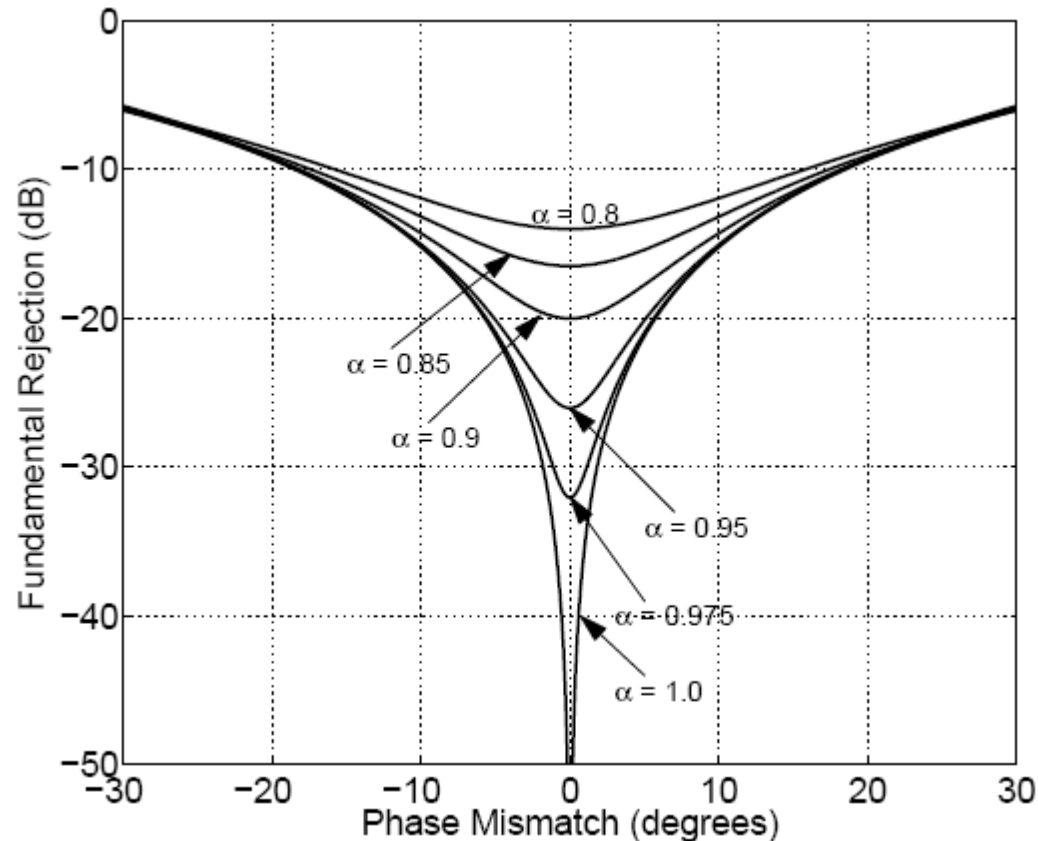
## Frequency Tripler Design



x2 Subharmonic Mixer

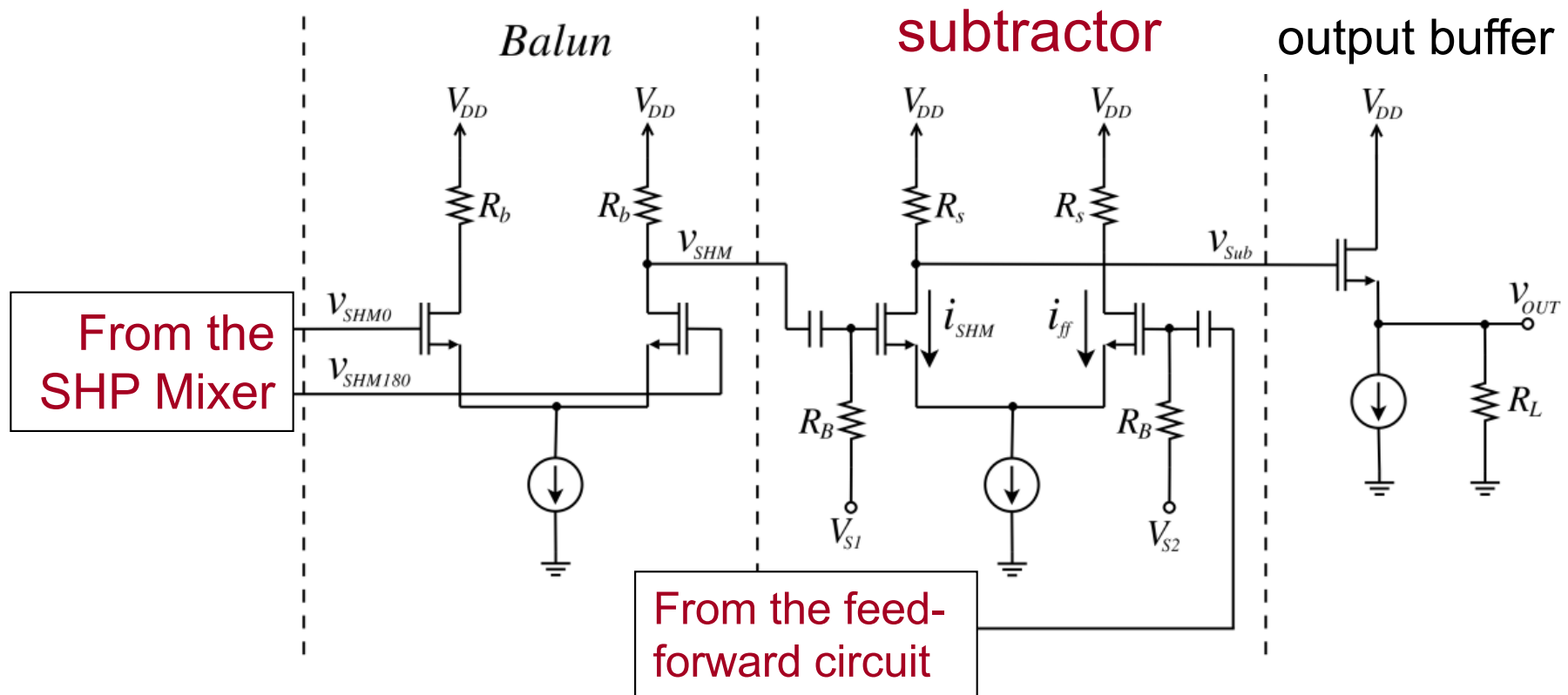
The feedforward path:  
Phase Shifter & Amplifier

## Effect of Phase and Amplitude Mismatch in the Fundamental Cancellation Process



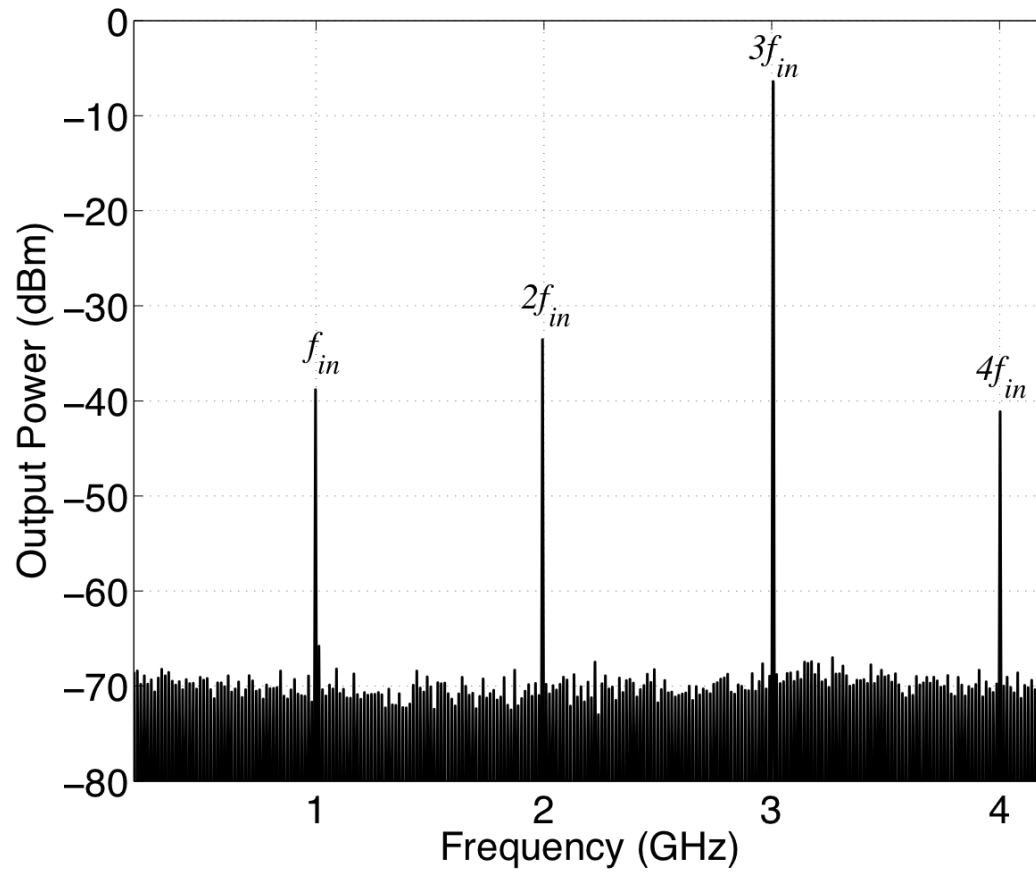
The **phase and amplitude** of the fundamental signal have to be **tuned for maximum signal cancellation** at the output.

## Frequency Tripler Design – cont'd



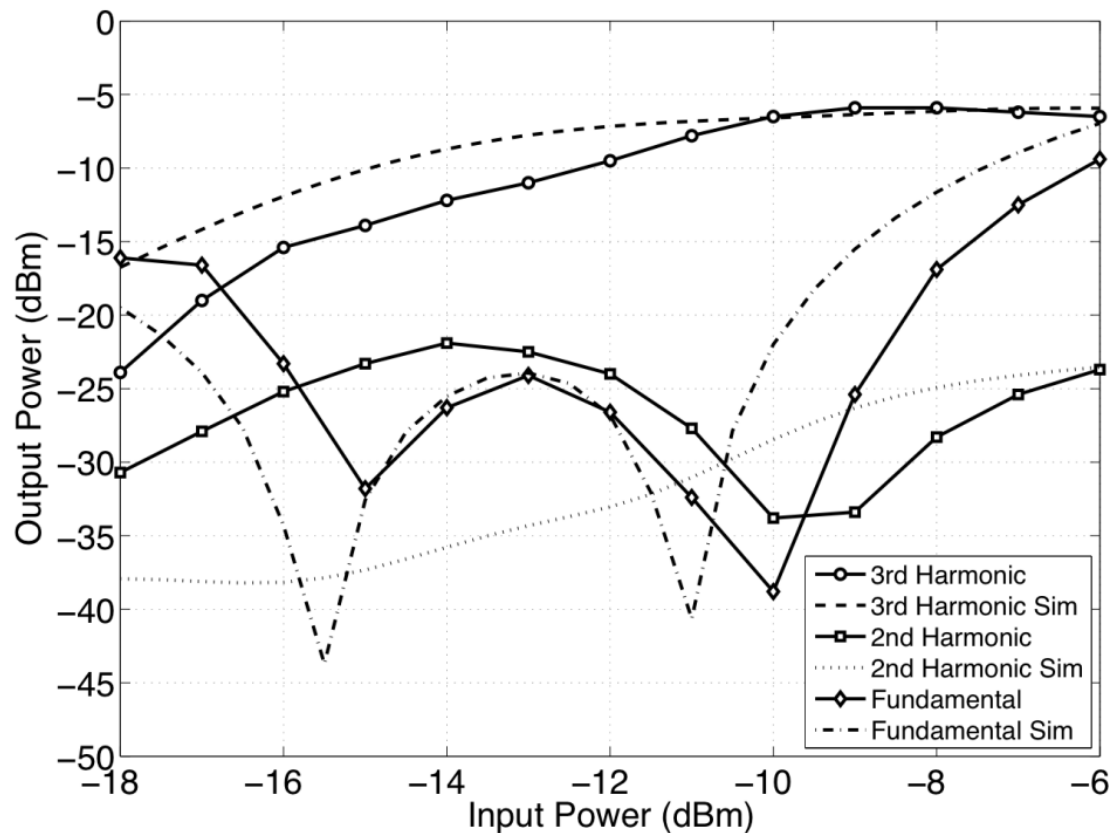
# CMOS Subharmonic Mixers and Applications

## Output Spectrum



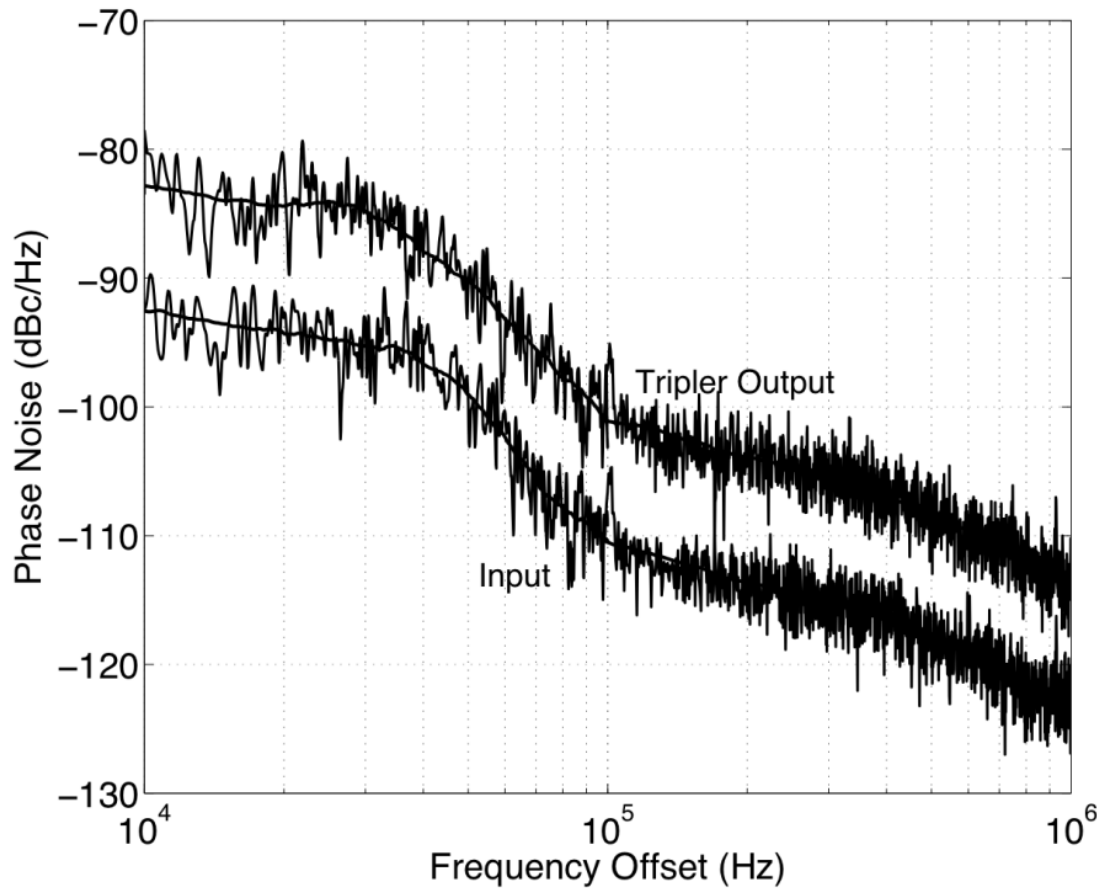
Input Freq.	1 GHz
Input power	-10 dBm
Output Freq.	3 GHz
Conv. Gain	3 dB
Fund. Reject.	30 dB

## Power Performance



High suppression of the fundamental and other harmonics achieved without on-chip or off-chip filtering.

## Noise Performance



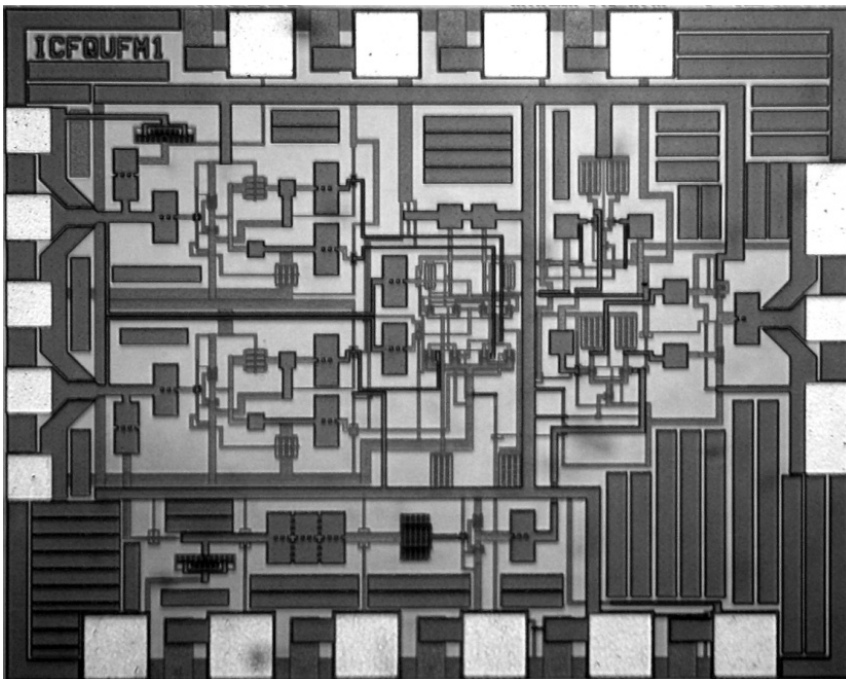
The minimum phase-noise degradation in a multiplier is:

$$20\log(n) = 9.54 \text{ dB}$$

for  $n = 3$ . In this work, the degradation is:

**9.69 dB**

## Frequency Tripler Demonstration Chip



Input freq.	1 GHz
Output freq.	3 GHz
Fund. Reject.	30 dB
Chip Size	0.80 mm <sup>2</sup> incl. pads
DC Power	68 mW (full chip)

## Conclusion

- In general, transistor-based SHM's can yield good levels of conv. gain: less than a fundamental Gilbert-Cell but higher than a diode SHM
- Excellent LO-to-RF and LO-to-IF isolation obtained due to the internal multiplication of the LO signal.
- By balancing gain and linearity requirements, the  $P_{1dB}$  and  $IP3$  points can be optimized in a CMOS SHM
- Novel circuit concepts can be realized by using SHM's such as odd-order multipliers

## Acknowledgements

Graduate students:

- Brad Jackson (PhD)
- Francesco Mazzilli (MSc)

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- CMC Microsystems (IC fabrication grants)
- Natural Sciences and Engineering Research Council of Canada (NSERC)



- Ontario Ministry of Training, Colleges and Universities



# CMOS Subharmonic Mixers and Applications



## About the speaker:

**Carlos Saavedra** received the Ph.D. and M.Sc. degrees from Cornell University and the B.Sc. degree from the University of Virginia. From 1998-2000 he was with Millitech Corporation and in the year 2000 he joined Queen's University where he is now Associate Professor of Electrical and Computer Engineering and the Coordinator of Graduate Studies. Prof. Saavedra is a member of the Technical Program Committee of the IEEE RFIC Symposium and serves as a reviewer for several journals, including the IEEE T-MTT, IEEE MWCL, IEEE TCAS-II and Electronics Letters. He is a Senior Member of the IEEE.

<http://post.queensu.ca/~saavedra/>

# CMOS Subharmonic Mixers and Applications



## References

1. T. H. Teo, W. G. Yeoh, "Low-Power Short-Range Radio CMOS Subharmonic RF Front-End Using CG-CS LNA," *IEEE Trans. Circuits and Systems II: Express Briefs*, Vol. 55, No. 7, pp. 658-662, July 2008.
2. R. H. Kodkani and L. E. Larson, "A 24 GHz CMOS Passive Subharmonic Mixer/Downconverter for Zero-IF Applications," *IEEE Trans. Microwave Theory and Tech.*, Vol. 56, No. 5, pp. 1247-1256, May 2008.
3. Z. Zhaofeng, L. Tsui, C. Zhiheng and J. Lau, "A CMOS Self-Mixing-Free Front-End for Direct Conversion Applications," *IEEE International Symposium on Circuits and Systems*, pp. 386-389, Sydney, Australia, May 2001.
4. K. Nimmagadda and G. Rebeiz, "A 1.9 GHz Double-Balanced Subharmonic Mixer for Direct Conversion Receivers," *IEEE RFIC Symposium*, pp. 253-256, 2001.
5. B. R. Jackson and C. E. Saavedra, "A CMOS Subharmonic Mixer with Active Input and Output Baluns," *Microwave and Optical Technology Letters*, Vol. 48, No. 12, pp. 2472-2478, December 2006.
6. B. R. Jackson, F. Mazzilli and C. E. Saavedra, "A Frequency Tripler using a Subharmonic Mixer and Fundamental Cancellation," *IEEE Transactions on Microwave Theory and Techniques*, Vol. 57, No. 5, pp. 1083-1090, May 2009.
7. B. R. Jackson and C. E. Saavedra, "A CMOS Ku Band 4X Subharmonic Mixer," *IEEE Journal of Solid-State Circuits*, Vol. 43, No. 6, pp. 1351-1359, June 2008.